

Extensional Equality Preservation in Intensional MLTT

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Motivation

- Proving a result about (finite horizon) *monadic* sequential decision problems (MSDP) in Idris, [Brede and Botta, 2021] observed:

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- [Botta et al., 2021] discusses use of *mapPresEE* and similar laws (*bindPresEE*, *kleisliPresEE*) to prove properties of MSDPs and equivalence of different monad ADTs:
 - Classical: *pure*, *map*, *join* + 5 laws
 - Wadler style: *pure*, *bind* + 3 laws
 - Fat interface with all above and Kleisli composition + laws

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Equality in intensional MLTT

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Intensional (type level) equality

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data _≡_ {A : Set} (x : A) : A → Set where
  refl : x ≡ x
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Extensional equality of functions

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_≐_ : ∀ {A B : Set} → (f g : A → B) → Set
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Any function preserves \equiv :

```
cong : {A B : Set} (f : A → B) {x y : A} → x ≡ y → f x ≡ f y
cong f refl = refl
```

Extensional functors

Functor ADT:

```
record Functor (F : Set → Set) : Set1 where
  field
    map          : ∀ {A B} → (A → B) → (F A → F B)
    mapPresId   : ∀ {A} → map (id {A = A}) ≐ id
    mapPresComp : ∀ {A B C} (f : B → C) (g : A → B) →
                  map (f ∘ g) ≐ map f ∘ map g
```

Extensional functors

add *mapPresEE*

```
record ExtFunctor (F : Set → Set) : Set1 where
  field
    map           : ∀ {A B} → (A → B) → (F A → F B)
    mapPresId    : ∀ {A} → map (id {A = A}) ≐ id
    mapPresComp  : ∀ {A B C} (f : B → C) (g : A → B) →
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[Matthes, 2009] used these “extensional functors” in the context of nested datatypes. *mapPresEE* for *Maybe*, *List*,... e.g. in Coq and Agda standard libs. Unlike *Functors*, *ExtFunctors* compose! e.g. *mapPresId* for $F \circ G$:

```
mapPresId = λ x →
  mapF (mapG id) x ≐⟨ mapPresEEF _ _ mapPresIdG x ⟩
  mapF id x      ≐⟨ mapPresIdF x ⟩
  id x          QED
```

Not extensional: Reader

$\text{Reader} : \text{Set} \rightarrow \text{Set} ; \text{Reader } A = \text{Env} \rightarrow A$

$\text{mapReader} : \{A B : \text{Set}\} \rightarrow (A \rightarrow B) \rightarrow (\text{Env} \rightarrow A) \rightarrow (\text{Env} \rightarrow B)$

$\text{mapReader} = _ \circ _$

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Post-(and pre-)composition with any function preserves \doteq

$\text{postCompPres}\doteq : \forall \{A B C\} (h : B \rightarrow C) (f g : A \rightarrow B) \rightarrow$

$f \doteq g \rightarrow h \circ f \doteq h \circ g$

$\text{postCompPres}\doteq h f g f \doteq g a = \text{cong } h (f \doteq g a)$

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Reader : Set → Set ; Reader A = Env → A
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mapReader = _o_
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```

Composition itself does not:

```
compNoPres $\doteq$  :  $\forall \{A B C : \text{Set}\} (f g : B \rightarrow C) \rightarrow$   
     $f \doteq g \rightarrow f \text{ o\_} \doteq g \text{ o\_}$   
compNoPres $\doteq$   $f g f \doteq g = \lambda h \rightarrow \{\!\!\!\}$  -- Hole :  $f \circ h \equiv g \circ h$ 
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Taking $h = id$ (and using η !) we get:

Fact

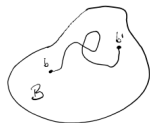
mapPresEE for Reader implies funExt for all functions on Env.

Can we characterize extensional functors?

Free path space:

Path : Set \rightarrow Set

Path $B = \Sigma (B \times B) \lambda (b, b') \rightarrow b \equiv b'$



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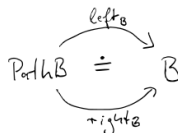
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Projections are extensionally equal

$l \doteq r : \text{left} \doteq \text{right}$

$l \doteq r ((-, -) ** b \equiv b') = b \equiv b'$



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Universal property:

$f \doteq g \iff \exists h. (\text{left} \circ h \equiv f) \wedge (\text{right} \circ h \equiv g)$

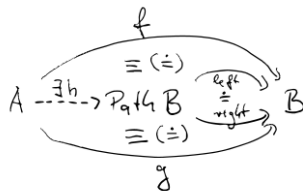
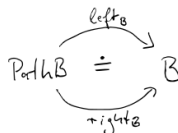
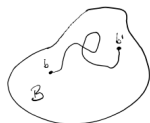
$\iff \exists h. (\text{left} \circ h \doteq f) \wedge (\text{right} \circ h \doteq g)$

$[-] : \forall \{A\} \{f g : A \rightarrow B\} \rightarrow f \doteq g \rightarrow$

$\Sigma (A \rightarrow \text{Path } B)$

$\lambda h \rightarrow (\text{left} \circ h \equiv f) \times (\text{right} \circ h \equiv g)$

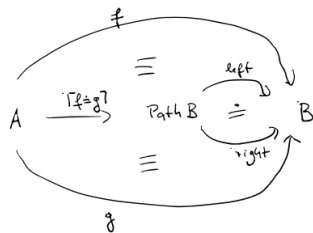
$[f \doteq g] = (\lambda a \rightarrow (-, -) ** f \doteq g a) ** \text{refl}, \text{refl}$



Characterization of extensional functors

Suppose $T : \text{Set} \rightarrow \text{Set}$, Functor T .

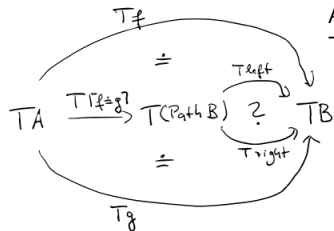
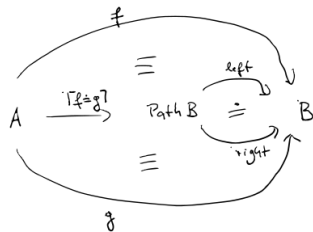
Let $f, g : A \rightarrow B$ extensionally equal: $f \doteq g : f \doteq g$.



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Apply T .

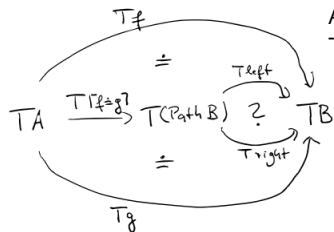
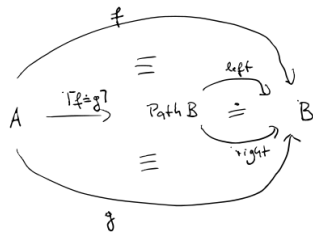
Triangles become \doteq :

$$\begin{aligned} \text{mapT } f \text{ ta} &\equiv \langle \text{refl } \{- \text{ uses } \eta ! -\} \rangle \\ \text{mapT } (\text{left} \circ [f \doteq g]) \text{ ta} &\equiv \langle \text{mapPresComp left } p \text{ ta} \rangle \\ (\text{mapT left} \circ \text{mapT } [f \doteq g]) \text{ ta} & \end{aligned}$$

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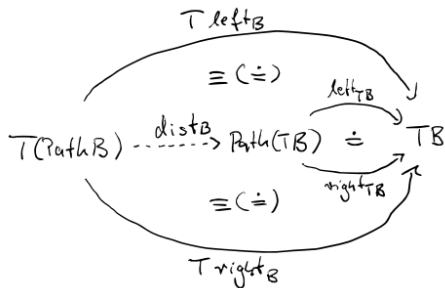
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Fact

$$T \text{ extensional} \iff \forall B. \text{map}_T \text{left}_B \doteq \text{map}_T \text{right}_B$$

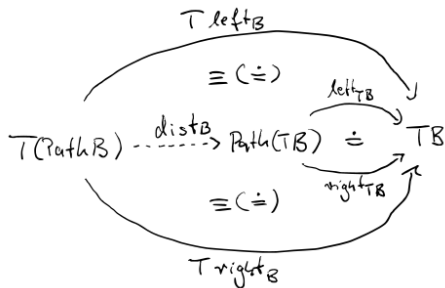
Traversables are extensional

Universal property for the
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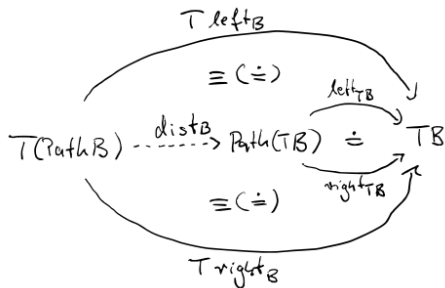


Fact

T extensional $\iff \forall B \exists \text{dist}_B : (T \circ \text{Path})B \rightarrow (\text{Path} \circ T)B$ s.t.
 $\text{left}_B \circ \text{dist}_B \doteq T \text{ left}_B$ and $\text{right}_B \circ \text{dist}_B \doteq T \text{ right}_B$

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Corollary

Any traversable functor ([McBride and Paterson, 2008],
[Jaskelioff and Rypacek, 2012]) is extensional.

Traversable are extensional

```
record Applicative (F : Set → Set) : Set₁ where
  infixl 30 _@_
  field
    pure : ∀ {A} → A → F A
    _@_ : ∀ {A B} → F (A → B) → F A → F B
    -- 4 axioms ...
    -- had to add:
    appMapPresEE : ∀ {A B} {f g : A → B} →
      f ≐ g → pure f @_ ≐ pure g @_
```

```
record TraversableD : Set (lsuc ℓ) where
  field
    dist      : DistType
    functor   : Functor T
  mapT : MapType
  mapT = map {{functor}}
  field
    distId      : DistId dist
    distComp    : DistComp dist
    distNat     : DistNat dist mapT
    distNatFam : DistNatFam dist mapT
```

$$\text{DistType} = \forall F \{ \{FA : \text{Applicative } F\} \} \{A\} \rightarrow T (F A) \rightarrow F (T A)$$
$$\text{DistId } dist = \text{-- unitarity [J\&R, def. 3.3]} \\ \forall \{A\} \rightarrow dist \text{id} \{ \{idApp\} \} \{A\} \doteq id$$
$$\text{DistComp } dist = \text{-- linearity [J\&R, def. 3.3]} \\ \forall F G \{ \{FA : \text{App } F\} \} \{ \{GA : \text{App } G\} \} \{A\} \rightarrow \\ dist (F \circ G) \{ \{compApp F G\} \} \{A\} \doteq \\ \text{map} \{ \{applsFun F\} \} (dist G) \circ dist F$$
$$\text{DistNat } dist \text{ mapT} = \text{-- naturality [J\&R, def. 3.3]} \\ \forall F G \{ \{FA : \text{App } F\} \} \{ \{GA : \text{App } G\} \} \\ (\tau : F \rightarrow G) \{ \{ \tau ANT : \text{AppNatTrans } F G \tau \} \} \{A\} \rightarrow \\ \tau \circ dist F \{A\} \doteq dist G \{A\} \circ \text{mapT } \tau$$
$$\text{DistNatFam } dist \text{ mapT} = \text{-- family of ntfs [J\&R, def. 3.3]} \\ \forall F \{ \{FA : \text{App } F\} \} \{A B\} (f : A \rightarrow B) \rightarrow \\ \text{let } \text{mapF} = \text{map} \{ \{applsFun F\} \} \\ \text{in } \text{mapF} (\text{mapT } f) \circ dist F \doteq dist F \circ \text{mapT} (\text{mapF } f)$$

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- *left* and *right* are “applicative natural transformations” $Path \rightarrow Id$

$(\text{left} \circ \text{dist Path } \{\{\text{pathApp}\}\}) \text{ tpa}$
 $\equiv \langle \text{distNat Path id } \{\{\text{pathApp}\}\} \{\{\text{idApp}\}\} \text{ left } \{\{\text{leftANT}\}\} _ \rangle$

$(\text{dist id } \{\{\text{idApp}\}\} \circ \text{mapT left}) \text{ tpa}$

$\equiv \langle \text{distId } _ \rangle$

mapT left tpa

QED

$$\begin{array}{ccc} T(\text{Path } B) & \xrightarrow{T \text{ left } B} & TB \\ \text{dist } \text{Path } B \downarrow & & \downarrow \text{dist } \text{Id } B \doteq \text{id} \\ \text{Path}(TB) & \xrightarrow{\text{left } TB} & \overline{TB} \end{array}$$

Conclusion

- Agda Formalization
 - (Extensional) Applicatives and lax monoidal functors, proved equivalent.
 - Applicatives compose - proofs were long, now we use normalization of “applicative expressions”.
 - Applicative lifting of n -ary functions preserves extensional equality $\stackrel{n}{=}$.
 - 4 interfaces for traversable functors (w.r.t. *extensional* applicatives): (dist, consume, traverse, all).

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Thank you!

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