

# Read the mode and stay positive

Towards internal positivity annotations

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data  $\mathbb{N}$  : Set where
  zero :  $\mathbb{N}$ 
  succ :  $\mathbb{N} \rightarrow \mathbb{N}$ 
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- ▶ Pros: very readable and natural definition, constructors are explicit;
- ▶ Cons: induction scheme lives outside of the type theory, can't abstract over it.

# W-types

First internal approximation: W-types<sup>1</sup> aka. containers<sup>2</sup>.

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# W-types

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Define the following primitive

```
data W (S : Set) (P : S → Set) : Set where
  sup : (cons : S) → (P cons → W S P) → W S P
```

---

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$S$  is the type of *shapes* while  $P$  is the type of *positions*, ie. arities of the recursive references of the constructors.

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```
NShapes : Set
NShapes = Bool
```

```
NPositions : NShapes → Set
NPositions (inl tt) = ⊥ -- zero constructor
NPositions (inr tt) = T -- succ constructor
```

```
N = W NShapes NPositions
```



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List : Set  $\rightarrow$  Set

List A = W ListShapes ListPositions

where ListShapes : Set

ListShapes = T  $\cup$  A

ListPositions : ListShapes  $\rightarrow$  Set

ListPositions (inl \_) =  $\perp$

ListPositions (inr \_) = T

We have one shape per element of A!

```
def-naturals : (n : ℕ)
  → (n ≡ zero)
    ∨ (Σ[ m ∈ ℕ ] (n ≡ (succ m)))
```

```
def-naturals : (n : ℕ)
  → (n ≡ zero)
    ∪ (Σ[ m ∈ ℕ ] (n ≡ (succ m)))
```

```
def-naturals (sup (inl tt) f) = {!!}
```

```
-- need to show f ≡ (λ ())
```

```
def-naturals (sup (inr tt) g) = {!!}
```

```
-- need to show g ≡ (λ tt → g tt)
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-- need to show g ≡ (λ tt → g tt)
```

funExt needed!

## Fixed points

Can we take inspiration from the categorical semantics? Initial algebras of endofunctors are the least fixed points of type formers.

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$\mathbb{N}$ -type-former :  $\text{Set} \rightarrow \text{Set}$

$\mathbb{N}$ -type-former  $X = \top \sqcup X$



How do we take least fixed points of type formers?

$\mu : (\text{Set} \rightarrow \text{Set}) \rightarrow \text{Set}$

$\mu F = \{\!\!\}$

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Not all type formers have fixed points!

`oops-type-former` : `Set`  $\rightarrow$  `Set`

`oops-type-former` `X` = `X`  $\rightarrow$   $\perp$

Proof assistants already use a syntactic criterion: strict positivity.

```
data I : Set where
  ok : ( $\perp \rightarrow I$ )  $\rightarrow$  I  $\rightarrow$  I
  not-ok : {! $\left(\left(I \rightarrow \perp\right) \rightarrow \perp\right) \rightarrow I$  !}
```

However, we have no way of saying inside the type system that a type former is strictly positive, so we still can't type  $\mu$ .

Let's just extend the type system then!

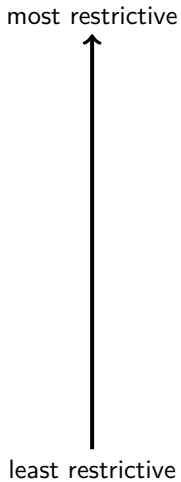
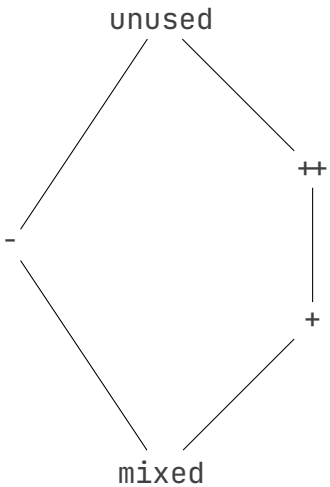
`id` : @++ Set → Set

`id` X = X

`not-positive` : @++ Set → Set

`not-positive` X = `{! X → ⊥ !}`

New modal type theory, inspired by Abel, “Polarized Subtyping for Sized Types” and following the framework of Gratzer et al., “Multimodal Dependent Type Theory”.



$\text{spositive} : @++ \text{Set} \rightarrow \text{Set}$   
 $\text{spositive } A = \perp \rightarrow A$

$\text{negative} : @- \text{Set} \rightarrow \text{Set}$   
 $\text{negative } A = A \rightarrow \perp$

Modalities compose!

$f : @+ \text{Set} \rightarrow \text{Set}$   
 $f A = \text{negative} (\text{spositive} (\text{negative } A))$

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Very importantly

$\text{pi} : (@- A : \text{Set}) \rightarrow (@++ B : \text{Set}) \rightarrow \text{Set}$   
 $\text{pi } A B = A \rightarrow B$

After fiddling with the positivity checker, we can write down:



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```
data  $\mu$  (@++ F : @++ Set  $\rightarrow$  Set) : Set where
  fix : F ( $\mu$  F)  $\rightarrow$   $\mu$  F
```

Back to our running examples:

$\mathbb{N} : \text{Set}$

$\mathbb{N} = \mu (\lambda X \rightarrow \top \sqcup X)$

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$\text{List} : @++ \text{Set} \rightarrow \text{Set}$

$\text{List } A = \mu (\lambda X \rightarrow \top \sqcup A \times X)$

$W : (A : \text{Set}) (B : A \rightarrow \text{Set})$

$\rightarrow @++ \text{Set} \rightarrow \text{Set}$

$W A B X =$

$\Sigma [ a \in A ] (B a \rightarrow X)$

```
pattern zero = fix (inl tt)
pattern succ n = fix (inr n)
```

```
pattern nil = fix (inl tt)
pattern cons a b = fix (inr (a , b))
```

```
length : {A : Set}
        → List A
        → ℕ
```

```
length nil = zero
```

```
length (cons _ b) = succ (length b)
```

Further work

## Subtyping

`id : (@++ Set → Set) → (Set → Set)`

`id F = {!F!}`

Need to eta-expand!

Reduces composability.

## Generic fmap

```
fmap : (F : @+ Set → Set) {A B : Set}
      → (f : A → B)
      → (F A → F B)
fmap f fa = {!!}
```

Sound familiar?



## Generic fmap

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```
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```

Sound familiar?

```
equivmap : (F : Type → Type) {A B : Type}
          → (eq : A ≃ B)
          → F A ≃ F B
```

```
equivmap F eq = pathToEquiv (cong F (ua eq))
```

```
{-# TERMINATING #-}  
μelim : (F : @++ Set → Set) {A : Set}  
      → (alg : F A → A)  
      → (μ F → A)  
μelim F alg (fix x) = alg (fmap F (μelim F alg) x)
```

→ Internalize some generic programming.

## Directed Type Theory

```
data Hom[_,_] {A : Set ℓ}
  : @- A → @+ A → Set ℓ
  where
  id : ∀ {@unused x} → Hom[ x , x ]
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## Directed Type Theory

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data Hom[_ , _] {A : Set ℓ}
  : @- A → @+ A → Set ℓ
  where
  id : ∀ {@unused x} → Hom[ x , x ]

elim : {A : Set ℓ}
      (F : @- A → @+ A → Set ℓ')
      → ((@unused x : A) → F x x)
      -----
      → (@unused x y : A)
      → (Hom[ x , y ] → F x y)
elim F F-id x .x id = F-id x
```

```
fmap : {A B : Set ℓ}
      → (F : @+ Set ℓ → Set ℓ')
      → Hom[ A , B ] → Hom[ F A , F B ]
fmap F id = id
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```

```
HomToFun : {A B : Set ℓ}
          → Hom[ A , B ] → A → B
```

```
HomToFun {A = A} {B = B} =
  elim (λ X Y → X → Y) (λ X x → x) A B
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fmap : {A B : Set ℓ}
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```
postulate ua : {A B : Set ℓ}
              → (A → B) → Hom[ A , B ]
```

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Remove syntactic positivity check and replace with type checking.



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Add  $\mu$  as a primitive and make data declarations desugar into a use of it.

But we still don't know exactly how it interacts with funky inductive types like inductive-inductive or inductive-recursive ones!

# Annotation inferrer

Recontextualize positivity checking as an annotation elaboration algorithm.

→ Flexibility of type annotations + comfort of automation.

## Semantics

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Fix-point mode: categorical analogue of dcpos,  $< \kappa$ -locally presentable categories with  $< \kappa$ -accessible functors between them.

Complication: The rank of accessibility of  $-^A$  depends on the cardinality of  $A$ .

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Complication: The rank of accessibility of  $-^A$  depends on the cardinality of  $A$ .

No clear semantics for the so-called lock operator on contexts in MTT for our modalities.

## Takeaway

A prototype Agda implementation at <https://github.com/agda/agda/pull/6385>. Some work already merged!



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- ▶ Use type-checking instead of syntactical checks for inductive types;

## Takeaway

A prototype Agda implementation at <https://github.com/agda/agda/pull/6385>. Some work already merged!

- ▶ Internalize induction schemes synthetically;
- ▶ Use type-checking instead of syntactical checks for inductive types;
- ▶ Real-world use of directed type theory for programmers!

-  Abbott, Michael, Thorsten Altenkirch, and Neil Ghani. “Categories of Containers”. In: *Foundations of Software Science and Computation Structures*. Ed. by Andrew D. Gordon. Berlin, Heidelberg: Springer Berlin Heidelberg, 2003, pp. 23–38. ISBN: 978-3-540-36576-1.
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-  Gratzer, Daniel et al. “Multimodal Dependent Type Theory”. In: *Logical Methods in Computer Science* Volume 17, Issue 3 (July 2021). ISSN: 1860-5974. DOI: [10.46298/lmcs-17\(3:11\)2021](https://doi.org/10.46298/lmcs-17(3:11)2021). URL: [http://dx.doi.org/10.46298/lmcs-17\(3:11\)2021](http://dx.doi.org/10.46298/lmcs-17(3:11)2021).
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-  North, Paige Randall. “Towards a Directed Homotopy Type Theory”. In: *Proceedings of the Thirty-Fifth Conference on the Mathematical Foundations of Programming Semantics, MFPS*

Thank you!

Any questions? Suggestions?